Atty. Docket No. HCL-004 Serial No.: 10/709,443 TFW

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ERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 32, 2004.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In the Application of

German VERGARA LOPEZ : Confirmation No.: 3442

Serial No.: 10/709,443 : Art Unit: 1731

Filing Date: May 5, 2004

For: Retention and Drainage System for the Manufacturing of Paper

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.56, 1.97 AND 1.98 (WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.56, 1.97, and 1.98, Applicant requests the Examiner to make of record the documents listed on the attached PTO/SB/08 form. In accordance with MPEP 609, the Examiner is requested to initial each document listed on the attached PTO/SB/08 form in the space provided, make a copy of the initialed form, and return the copy to Applicant's attorney.

Some of the documents listed on the attached PTO/SB/08 form came to Applicant's attorney's attention as a result of a European Search Report (copy enclosed) for the European patent application corresponding to the above application. WO 99/63159 listed in the enclosed European Search Report is in the same patent family as U.S. Application Numbers 2003/150,575A1 and 2004/040,683A1 listed in the enclosed European Search Report. The relevance of the listed documents to the claims of the corresponding European patent application is indicated at pages 1 and 2 of the enclosed European Search Report.

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This submission does not represent that a search has been made or that no closer art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the application filing date or prior to a first Office Action on the merits. Therefore, no fee is believed to be due.

Respectfully submitted,

For: German VERGARA LOPEZ

By:

Daniel F. Nesbitt Attorney for Applicant Registration No. 33,746

(513) 229-0383

Customer Number 26868

October 22, 2004

INFORMATION DISCLOSURE STATEMENT BY APPLICANT OCT 2 7 2004 00 as many sheets as necessary)

of

Complete if Known			
Application Number	10/709,443		
Filing Date	05/05/2004		
First Named Inventor	Vergara Lopez		
Art Unit	1731		
Examiner Name			
Attorney Docket Number	HCL-004	-	

	0:1-	Document Number Number - Kind Code ² (if known)		Bublication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examiner Initials*	Cite No.1			Publication Date MM-DD-YYYY			
		US-	2,972,560A	02/21/1961	Zembel et al.		
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		US-	2002/124,979A1	09/12/2002	Xiao et al.		
		US-	2002/195,218A1	12/26/2002	Chen et al.		
		US-	2003/150,575A1	08/14/2003	Hund et al.		
		US-	2004/040,683A1	04/03/2004	Hund et al.		
			FORE	IGN PATENT	DOCUMENTS		
Examiner Initials*	Cite		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or	7
	No.1	Country Code3-Number4-Kind Code5 (if known)		vn) MM-DD-YYYY	Applicant of Cited Document	Relevant Figures Appear	Ľ
			GB 1,265,496	03/01/1972	Varveri et al.		
	DE 2,262,906		10/11/1973	Sandoz AG, Basel			
	1	EP 0 235 893B1 WO 99/14432		05/09/1990	Allied Colloids Limited		
				03/25/1999	Allied Colloids Limited		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) volume-issue number(s), publisher, city and/or country where published.	٤.d

Examiner	Date	
Signature	Considered	